

06-13-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: Neal D. Hartsell, et al.

Filed: Herewith

For: SYSTEMS AND METHODS FOR RESOURCE TRACKING IN  
INFORMATION MANAGEMENT ENVIRONMENTS

Serial No.: Unknown

Group Art Unit: Unknown

Examiner: Unknown

Atty Docket No.: SURG:151

EXPRESS MAIL CERTIFICATION

NUMBER: EL917070305US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service, postage prepaid, under 37 C.F.R. 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

*Alfredo Ferrara*  
Signature

*6-12-01*  
Date of Deposit

BOX PAT APP  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

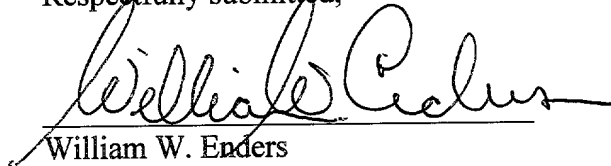
Transmitted herewith for filing are:

- ☒ New Patent Application consisting of 129 pages
- ☐ Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN \_\_\_\_\_ filed on \_\_\_\_\_. The prior Examiner was \_\_\_\_\_ in Group Art Unit \_\_\_\_\_.
- ☐ Response to Missing Parts
- ☐ Assignment and Recordation Cover sheet -- the parent application is assigned of record to \_\_\_\_\_.
- ☐ Inventors' Declaration/Power of Attorney
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

- ☒ Verified Statement Claiming Small Entity Status
- ☐ Information Disclosure Statement
- ☐ Petition for a \_\_\_\_\_ month extension of time
- ☐ Response to Office Action
- ☐ Preliminary Amendment
- ☐ Formal Drawings
- ☒ Informal Drawings (FIGS. 1-9, 25 sheets)
- ☐ Notice of Appeal
- ☐ An Appeal Brief (an original and two copies)
- ☐ Check in the amount of \$
- ☐ The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/\_\_\_\_\_, including any concurrent or future required extension of time fees.
- ☒ In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.
- ☒ Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- ☐ Other:

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written over a horizontal line.

William W. Enders

Registration No. 41,735

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

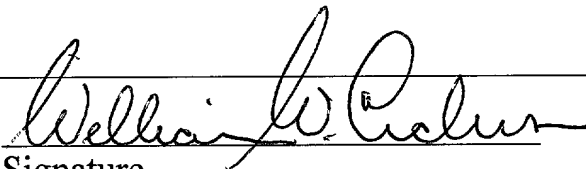
First Named Inventor:  
NEAL D. HARTSELL ET AL.

Title: SYSTEMS AND METHODS FOR  
RESOURCE TRACKING IN INFORMATION  
MANAGEMENT ENVIRONMENTS

Atty. Docket Number: SURG:151

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

6/12/01  
Date

  
Signature

William W. Enders (Reg. No. 41,735)  
Typed or printed name & Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).